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Robert D. Summers, Jr., Reg. No. 57,844

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: McGiffin et al.

Appln. No.: 10/783,841

Filed: February 20, 2004

For: ACCOUNT LEVEL PARTICIPATION FOR
UNDERWRITING COMPONENTS

Attorney Docket No: 10022/444

Examiner: Swartz, Jamie H.

Art Unit: 3694

Confirmation No. 7513

**PRELIMINARY AMENDMENT AND RESPONSE
TO RESTRICTION/ELECTION REQUIREMENT**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In reply to the Office Action of October 12, 2007, Assignee has timely filed this paper by the Electronic Filing System.

Amendments begin on page 2 of this response.

Remarks begin on page 7 of this response.